

Discovering Invisible Scholarship of Electronic Theses and Dissertations (ETDs): A Global Scenario



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Coverage

- Introduction
- ETD Repositories
- Impact of Invisible ETDs
 - Cited References in Web of Science
 - Cited References in Scopus
- ETD Initiatives
 - ETDs Initiatives in India
 - National Level Initiatives of ETDs - Worldwide
 - International Level Initiatives of ETDs
 - Open Access ETD Databases
 - ETDs Search Tools
 - Institutional-level ETD Repositories in India and worldwide
- Summing Up

Introduction

- Theses and Dissertations are the most valuable resources of invisible scholarship.
- High quality and low visibility.
- Rich, unique and valuable sources.
- Focus on specific areas/problems to identify the novelty.
- Involves collaborative work of researchers, supervisors, experts and others.
- Results of at least three years of scientific work, accomplished within a laboratory, through a research team or an institute, university or school.
- Adds new knowledge to the pool of primary sources.
- Relatively new mode of research and scholarly communication.
- ‘Next to journal articles, ETDs are the most frequent document types, found in OA repositories.

ETD Repositories

- ETD repositories have become showcases of the intellectual achievements of scholars and their institutions by making their research output available globally.
- Providing easy & wider access is important to create visibility.
- Individual institutions, national and international organizations have initiated establishing ETD repositories.

Invisible Scholarship of ETDs

Invisible scholarship in ETDs refers to the valuable research and insights contained within theses and dissertations that are not readily accessible or visible to a broader audience due to various factors, such as limited indexing, restricted access, or lack of awareness.

It is important to highlight the scholarly impact created by ETDs over the period.

Objectives

The following are ways that may assist in discovering the global invisible scholarly impact of the ETDs.

- ETD cited references in research articles, conference papers chapters, etc.
- Growth and development of ETD repositories.
- ETD initiatives - National, International and Institutional
- Dissemination and accessibility of ETDs through open-access sources and scholarly search engines.

Methods & Limitations

The following multiple data sources have been used to identify the impact of invisible ETDs.

- Web of Science - Cited References
- Scopus - References Search
- OpenDOAR - Content-Type 'Theses and Dissertations'
- Web survey of global ETD initiatives

The available data was collected as of October 16, 2023.

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SRCTITLE(*field ornith*) AND VOLUME(75) AND ISSUE(1) AND PAGES(53-66)

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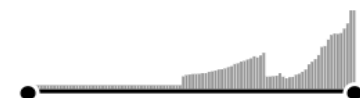
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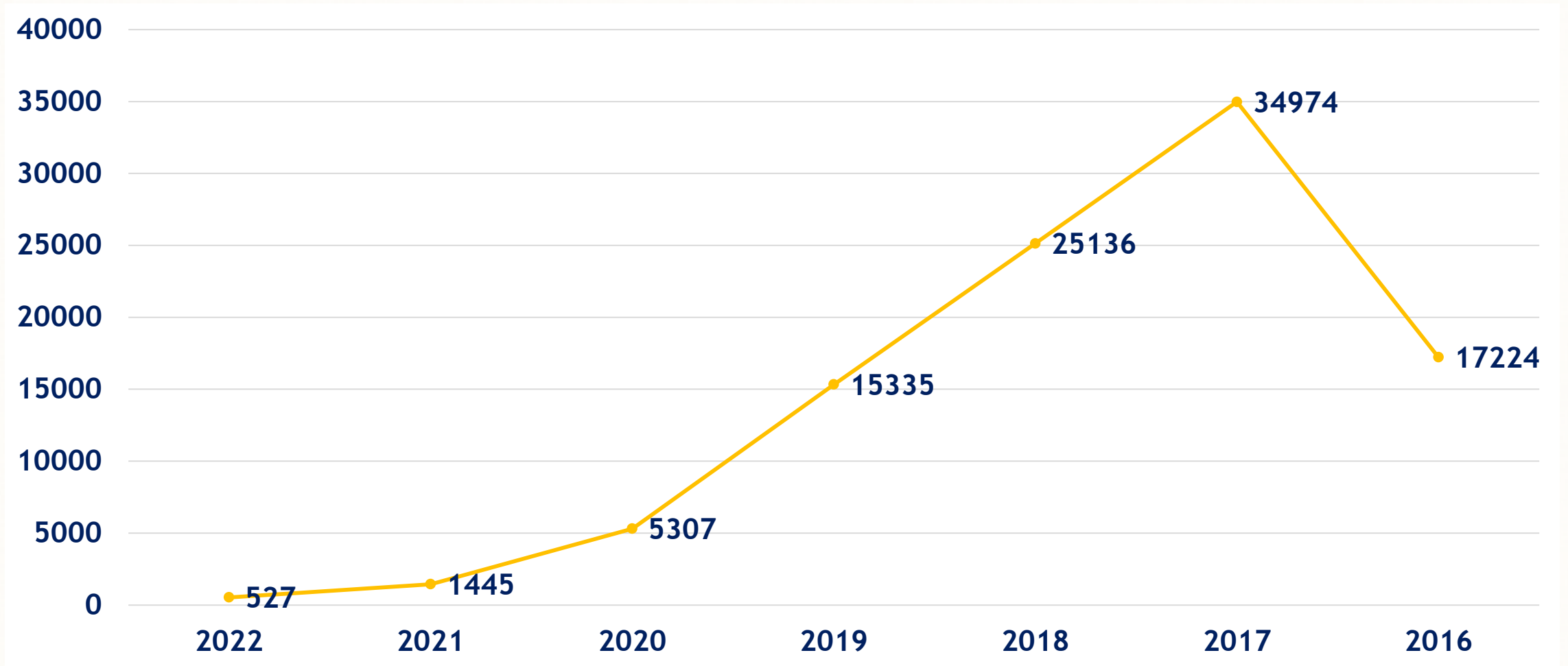
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ETDs as Cited References in Scopus & Web of Science

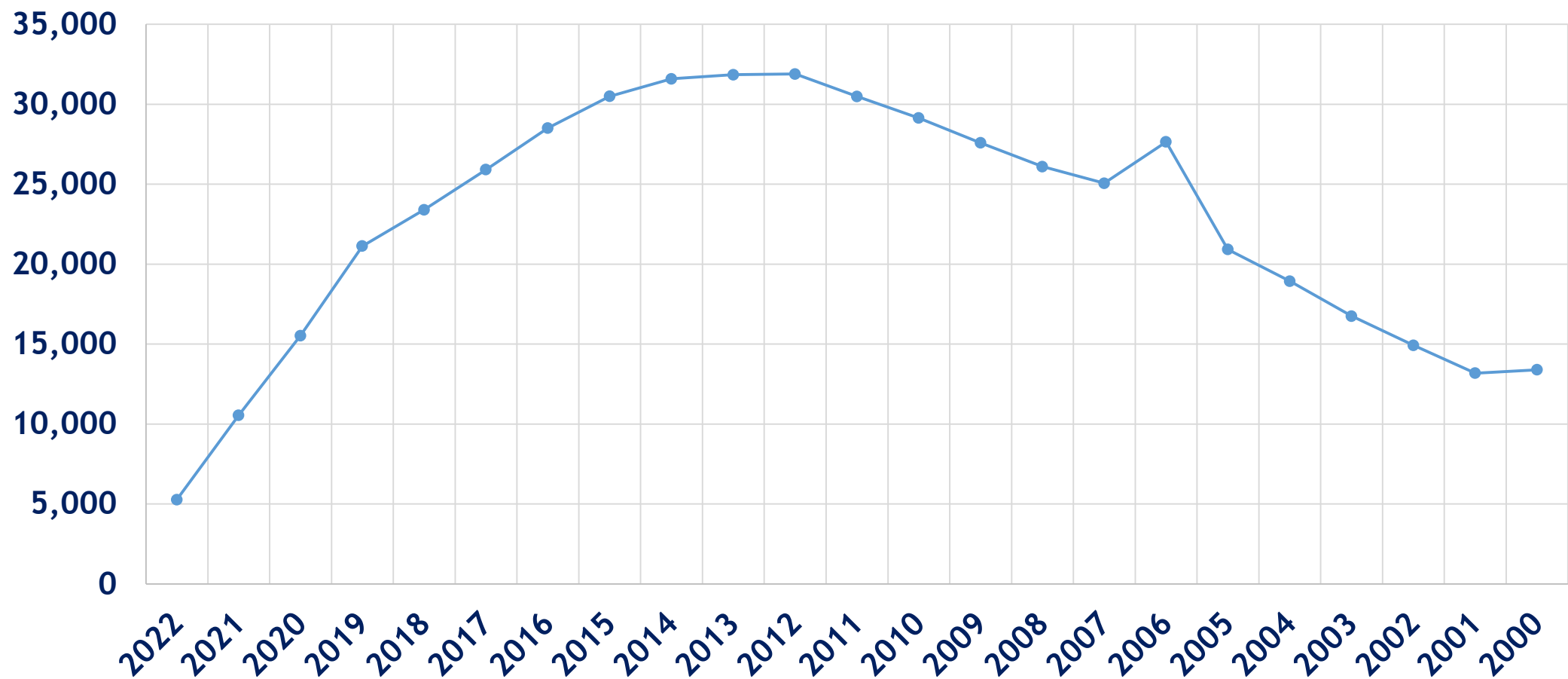
- Next to research articles, ETDs are the most frequently cited documents.
- Web of Science covers over **8,91,735** cited references of ETD documents since 1938.
- Scopus covers **1,136,099** ETDs' cited references in its published documents.
- Ph.D. theses (**2,42,606**) as most cited references as per Scopus
- 2017 year's (**34,974**) theses are the most cited references as per Web of Science.
- Citations of ETDs are constantly growing year by year.

Growth of ETD as Cited References in Web of Science



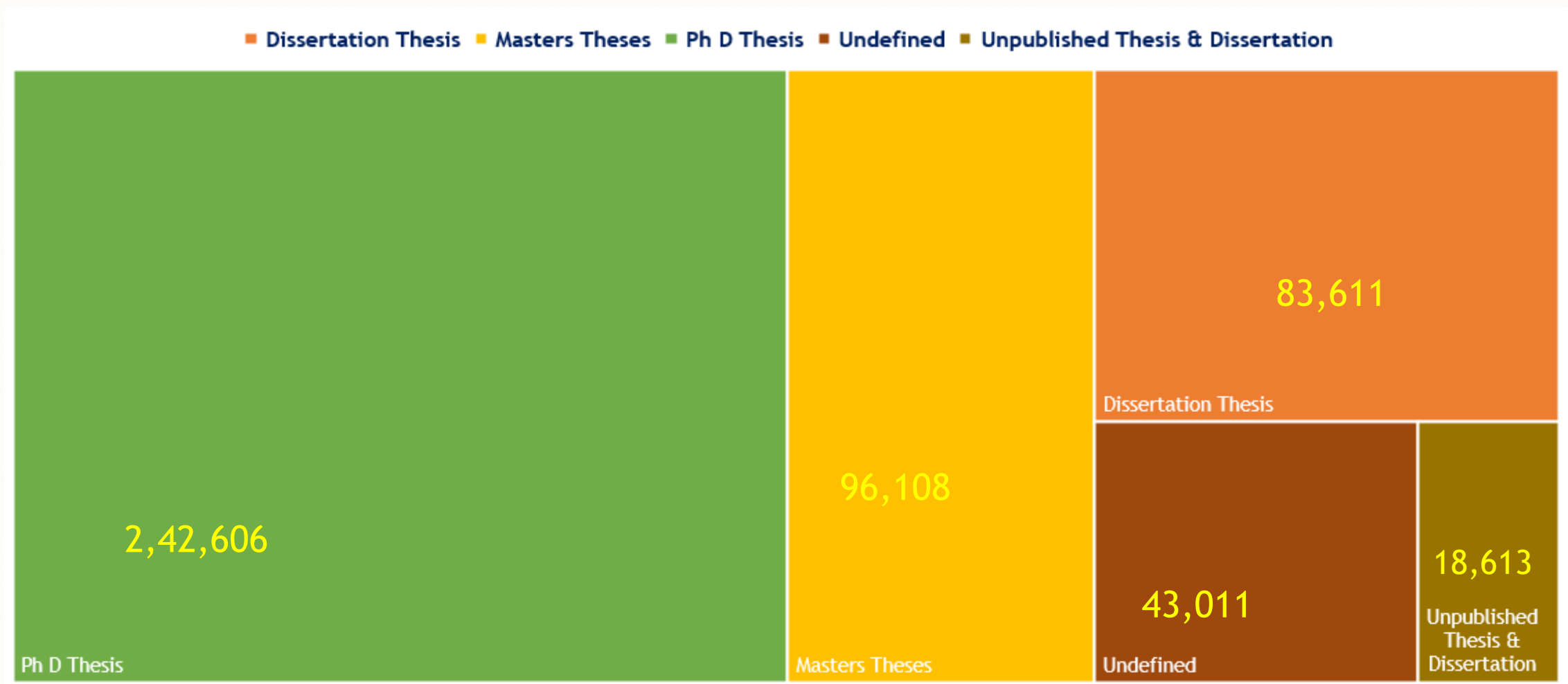
Last Five-years' theses and dissertations cited in the Web of Science

Growth of ETD as Cited References in Scopus



Since 2000> Theses and Dissertations cited as references in Scopus

Growth of ETDs as Cited References in Scopus

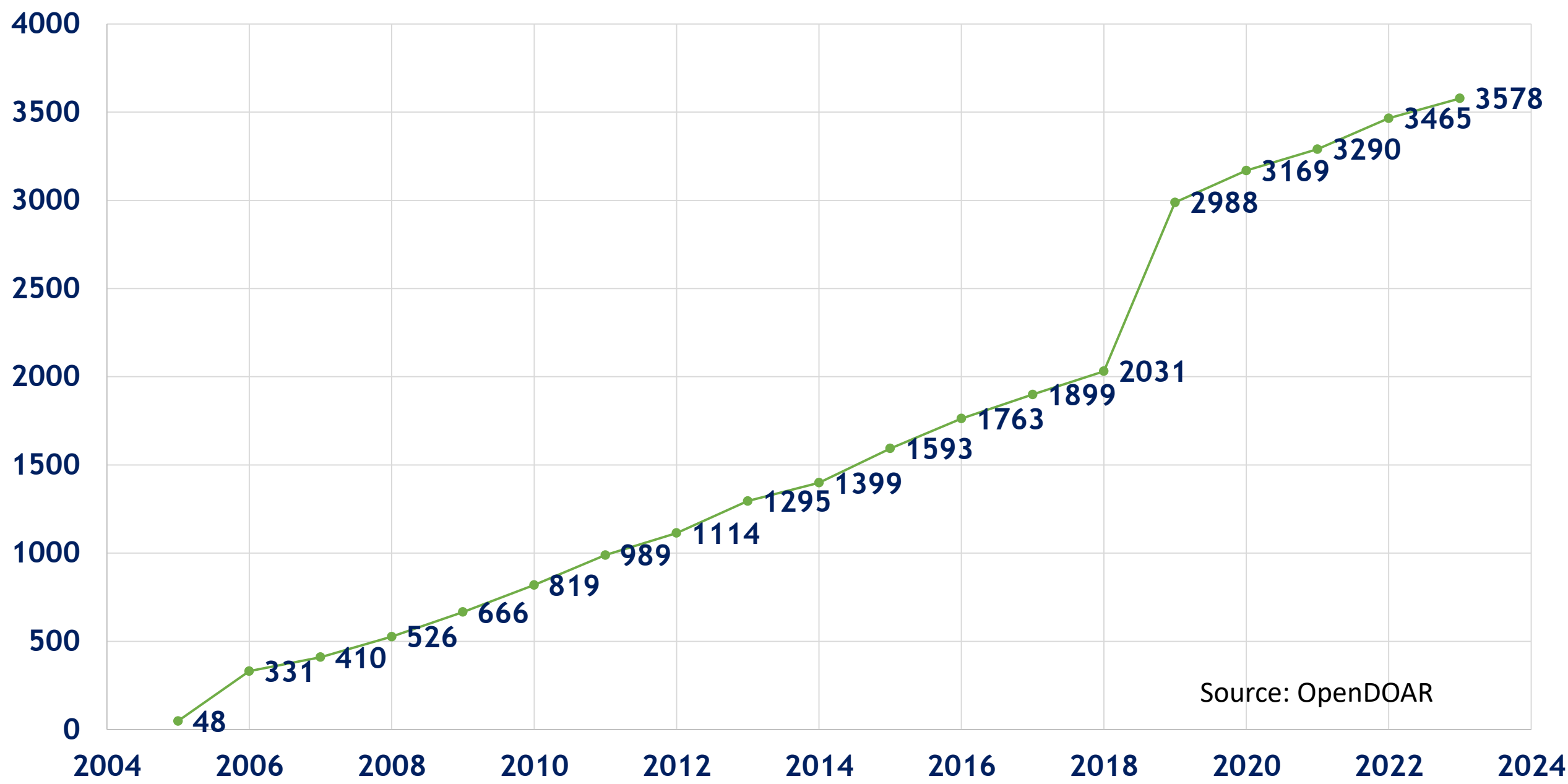


Content-wise theses and dissertations cited as references in Scopus

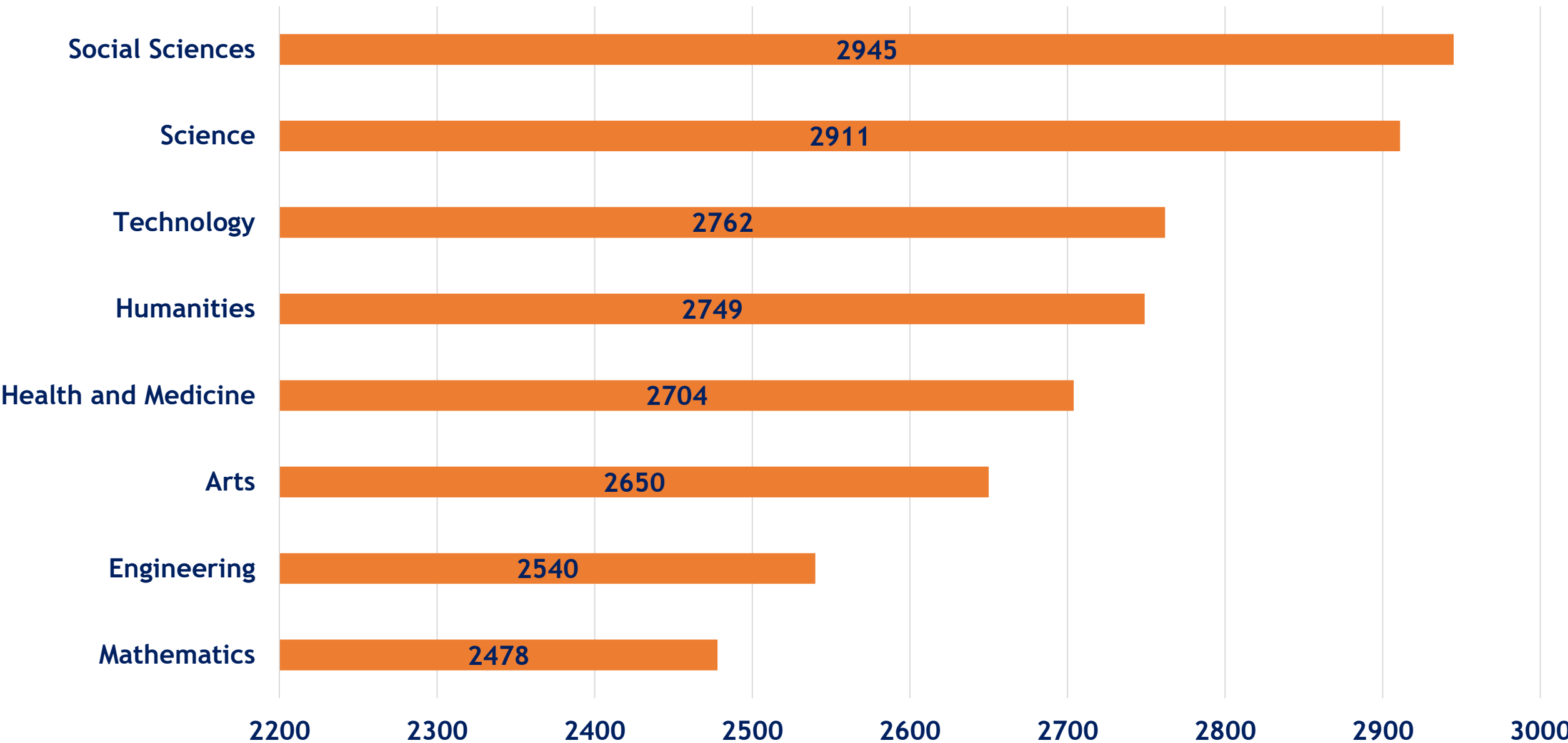
Growth of ETD Repositories

- Over 3578 repositories have been indexed in OpenDOAR which covers the content of Theses and Dissertations.
- These repositories cover over 2,58,21,500 ETD records.
- The maximum growth of ETD repositories found in 2019 (957), followed by 2015 (194), 2013 (184), and 2022 (175).
- The maximum of theses, covers social sciences, science, and technology, humanities and medicine disciplines.
- Over 121 countries have contributed to the development of ETD repositories.
- USA, Japan, and Germany are the top countries. India has 59 ETD repositories.
- Over 95.27% are institutional, 2.34% are disciplinary, 1.56% are aggregating and 0.81 governmental ETD repositories.

Growth of ETD Repositories



Growth of ETD Repositories: Subjects Coverage



ETD Initiatives - National, International and Institutional

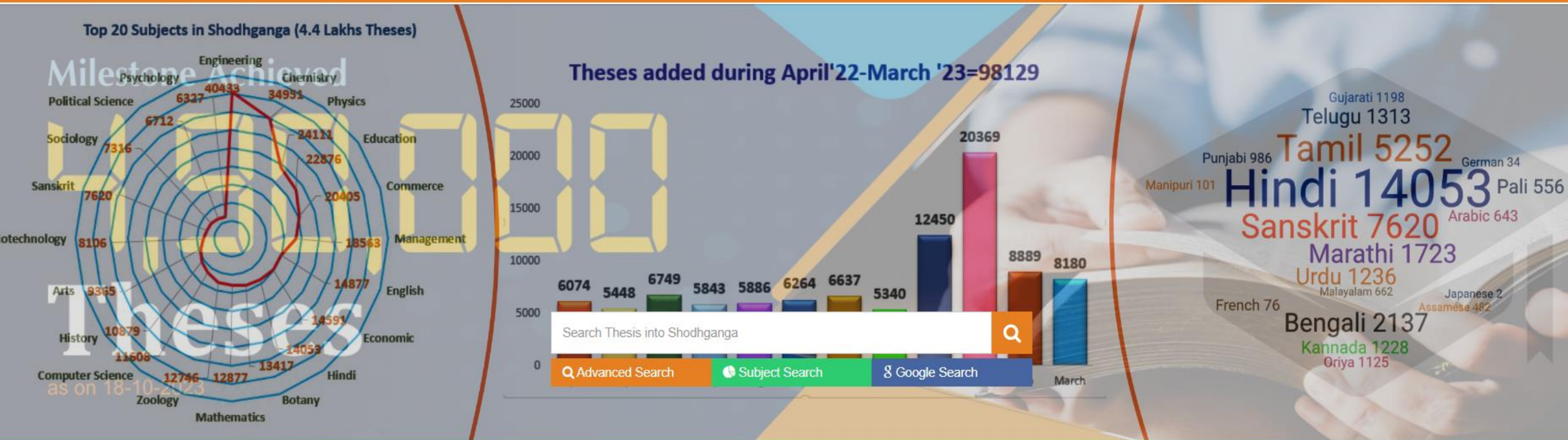
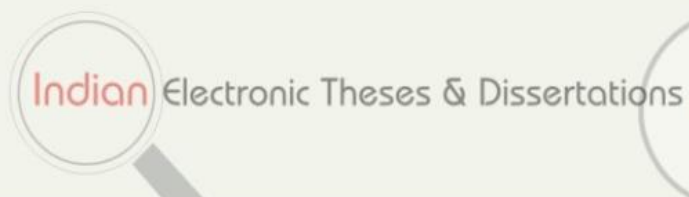
- The emergence of electronic sources, developments in open access (OA) and the creation of digital repositories all make possible the best use of scholarly information sources including theses and dissertations.
- Academic institutions, professional associations and networking bodies have been involved in creating and developing ETDs to disseminate and create the visibilities of hidden ETD contents.
- Access ETDs have the most significant advantages in collaboration, ensuring quick information retrieval, promoting resource sharing and helping in avoiding duplication in research work.
- ETDs growing part of available open content in the institutions' repositories, where it contributes to the institutions' overall impact and ranking.

ETD Initiatives in India

- India is at the forefront in ETD initiatives.
- India has over 59 ETD repositories.
- INFLIBNET is leading the efforts at the national level to provide access to Ph.D. theses, awarded in the Universities, S&T Institutions including CFTIs through **Shodhganga**.
- The recent developments from the ministry (MoE) have encouraged CFTI institutions to deposit their Ph.D. theses to Shodhganga repository. Accordingly:
 - 21 IITs have contributed over 10000+ theses, 10 IIMs have contributed over 400+ theses, IISc has contributed over 1216+ theses and 4 IISERs have contributed over 850+ theses.
- ICAR-Indian Agricultural Research Institute has hosted the institutional repository of National Agricultural Research System in India (Krishikosh) which covers the 1,50,000 theses and dissertations.

ETD Initiatives - In India

Metadata Sources	No. of Records
ShodhGanga	4,90,637
Shodhgangotri (Research in Progress/Synopses)	12,875
KrishiKosh	1,50,000
IndCat: Online Catalogue of Theses (Metadata)	4,50,025
Database of Theses and Dissertations - DELNET (Metadata)	1,40,195



THESES	SYNOPSIS	MRPs/PDFs/Fellowships Reports
490637	12668	75

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SYNOPSES

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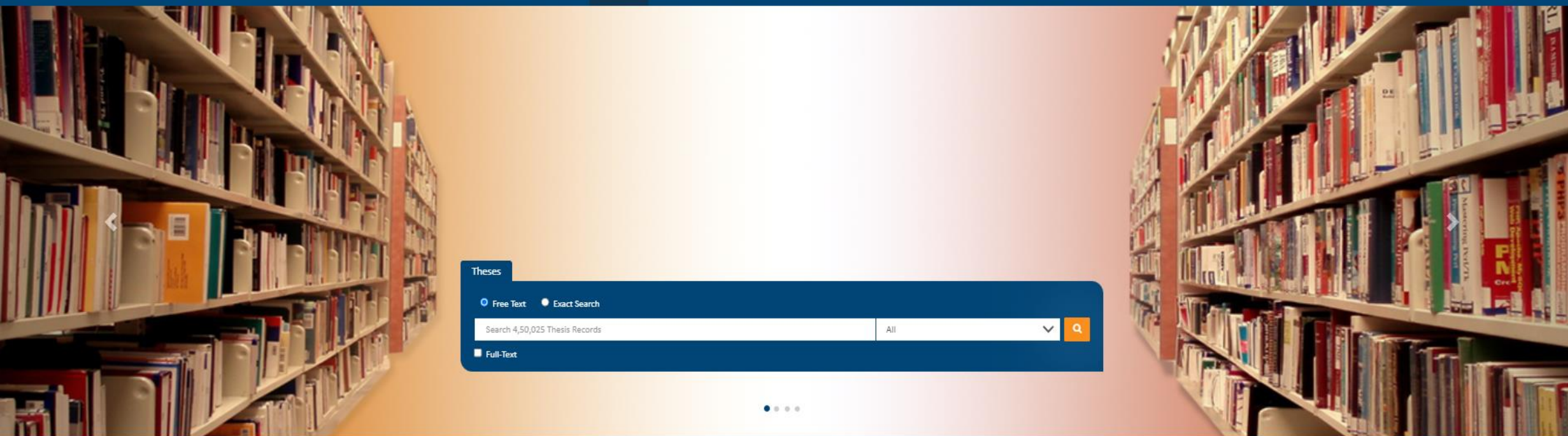
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Theses

Theses and dissertations are known to be the rich and unique source of information, often the only source of research work that does not find its way into various publication channels. The Thesis database contains records of Ph.D thesis submitted to Indian University based on the notifications received from respective Universities. Union database of Theses covers the Metadata of Doctoral Theses submitted to 457 Universities/Institutes in India. It has 4,50,025 unique records from all subject areas.

The number of records and participating universities have been increased manifold as new records from the Shodhganga have been imported directly.

Data Count



<https://indcat.inflibnet.ac.in/index.php/main/theses>

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Union Catalogue of Books

DELNET maintains an online union catalogue of books available in its member-libraries. This union catalogue is continuously updated and is growing in size. The information can be retrieved by author, title, subject, conference, series, etc. It has 3,85,30,225 bibliographic records. The request for inter-library loan can be placed through the online system.

Union List of Current Periodicals

DELNET has created union lists of current periodicals in science and technology, social sciences and humanities. This database is available online to DELNET users. It now lists 1,10,507 periodicals and is regularly updated and new titles are added annually. It is a major resource for Document Delivery Services.

Union Catalogue of Periodicals

DELNET maintains a union catalogue of periodicals, which contains full holdings data of the libraries. At present, the database contains 28,543 records.

Union List of Video Recordings

This is a database of video cassettes available in DELNET member-libraries and has about 6,000 listings.

Union List of Sound Recordings

This union list consists of audio cassette records available in member-libraries. This database has 1,025 records.

Database of Theses and Dissertations

A database of Theses and Dissertations submitted to Indian Universities has been started, which covers various subjects. The database has 1,40,195 records

Union List of Newspapers

The database has 70 records and contains information about the newspapers including title, name of the editor, published from, E-mail address and also the Web address of the INTERNET edition if available on the WWW.

<https://delnet.in/delnet-databases.html>

National Level Initiatives of ETDs - Worldwide

- Gateway to ETD collections of the nation.
- The individual nation/country has an ETD repository at the national level.
- Provides a single-point access to theses, submitted to most higher education institutions (in both full text and metadata levels).
- These initiatives are aimed at improving the accessibility and visibility of academic research.
- Most of the repositories allow harvesting of metadata by harvesters compliant with the Open Archives Initiative (OAI) Protocol for Metadata Harvesting (OAI-PMH).
- National-level repositories have also contributed to the metadata to the global-level repository (NDLTD global search).
- Supported by national-level foundations/networking bodies/government & other agencies

ETD Initiatives - National Level

ETDs	Country Name	No. of Records
BDTD: Brazilian Digital Theses & Dissertations	Brasil	5,60,000
China Doctoral Dissertations Full-Text Database	China	4,50,000
CiNii Dissertations	Japan	6,00,000
Diss Online	German Universities	2,84,000
Dissertations.se	Sweden	84,004
eLABa ETD	Lithuania	5,78,510
Ethos: Electronic Theses Online Service	United Kingdom	6,00,000
HKU Theses Online	Hong Kong	31,279
Japanese Institutional Repositories Online (JAIRO)	Japan	16,00,000
National Digital Library of Theses & Dissertations in Taiwan	Taiwan	46,997
NZresearch.org.nz	New Zealand	2,50,000
OhioLINK Electronic Theses & Dissertations (ETD) Center	USA	1,20,720
RCAAP - Repositório Científico de Acesso Aberto de Portugal	Portugal	28,78,748
RENATI	Peru	2,57,818
Shodhganga	India	4,89,508
TESEO	Spain	2,92,265
TesiOnline	Italy	3,972
Tesis Doctorales en Red	Spain	40,173
Tez Merkezi	Turkey	8,07,657
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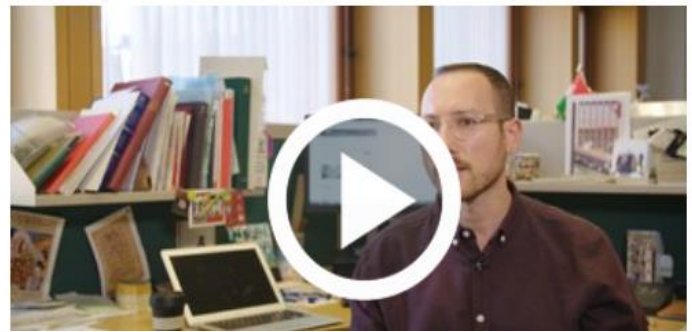
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All Degree Levels

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Publication Date

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master of philosophy5873	architecture1004	doctoral10099
master of education1663	chemistry986	postgraduate diploma33



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- Watson, Austin "Numerical Model of Explosive Boiling for Laser Ablation of Boron." Electronic Thesis or Dissertation. University of Akron, 2023.
- Ma, Yifan "Cellular Poration-based Massive Production of Therapeutic Extracellular Vesicles for Targeting Tumor Lesions and Regenerative Medicines." Electronic Thesis or Dissertation. Ohio State University, *no year provided*.
- Abbott, Mark "Neurophobia in Undergraduate Neuroanatomy Education: Prevalence, Contributing Factors, and Mitigation Through Supplemental E-Learning Modules." Electronic Thesis or Dissertation. Ohio State University, 2023.
- Teng, James "Stability and Variability in Neural Correlates of Sustained Attention Over the Adult Lifespan." Electronic Thesis or Dissertation. Ohio State University, 2023.
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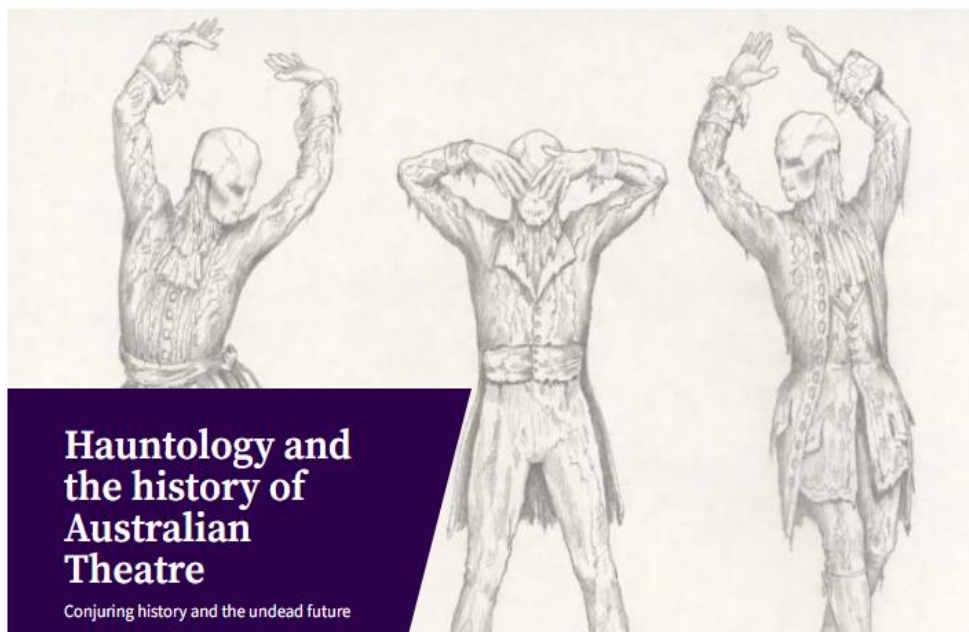


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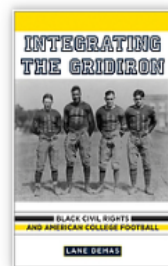
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1. A probabilistic explanation of a natural phenomenon



Open Access

Author: Artemiou, Andreas A

Title: A probabilistic explanation of a natural phenomenon

Graduate Program: Statistics

Keywords: Random Covariance Matrix
Principal Components
Regression
Correlation
Eigenpairs
Orientationally Uniform Distribution
Dimension Reduction

File: [Download thesis_1.pdf](#)

Committee Members: Bing Li, Thesis Advisor/Co-Advisor
Bing Li, Thesis Advisor/Co-Advisor

2. ADHD Rating Scales' Susceptibility to Faking in a College Student Sample



Open Access

Author: Fisher, April Bryington

Title: ADHD Rating Scales' Sample

Graduate Program: School Psychology

Keywords: college students
ADHD
clinical psychology

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Doctoral Dissertation



Determining Behavior, Properties and Structural Relationships of Uranium Minerals and Synthetic Phases

Author: Samuel Nolan Perry

Advisory Committee: Peter C. Burns, Jeremy Fein, Allen Oliver, Amy Hixon

Degree Area: Civil and Environmental Engineering and Earth Sciences

Degree: Doctor of Philosophy

Defense Date: 2023-09-19

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Interplay between Cellular and Extracellular Matrix Aging in Heart: standing Cardiac Aging and Developing Therapies for CVD

Author: S. Gulberk Ozcebe

Advisory Committee: Pinar Zorlutuna, Glen Niebur, Cody Smith

Degree Area: Bioengineering

Degree: Doctor of Philosophy

Defense Date: 2023-09-07

Record Visibility: Public

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Open access sources and scholarly search engines

ETD Databases/Search engines	No. of Records
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EBSCO Open Dissertations	14,00,000
PQDT OPEN: ProQuest Digital Theses	50,00,000
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Recent Additions

See all of this week's new additions.

-  *ICOS-Expressing Lymphocytes Promote Resolution of CD8-Mediated Lung Injury in a Mouse Model of Lung Rejection.* Wu, Qiang; Gardiner, Gail J.; Berry, Elizabeth; Wagner, Sarah R.; Lu, Tiffany; Clay, Bryan S.; Moore, Tamson V.; Ferreira, Caroline M.; Williams, Jesse W.; Luster, Andrew D.; Medoff, Benjamin D.; Cannon, Judy L.; Sperling, Anne I. University of Chicago.
-  *Uniformly expanding random walks on manifolds.* Elliott Smith, Rosemary. University of Chicago.
-  *Replacement of HA-MRSA by CA-MRSA Infections at an Academic Medical Center in the Midwestern United States, 2004-5 to 2008.* David, Michael Z.; Cadilla, Adriana; Boyle-Vavra, Susan. University of Chicago.

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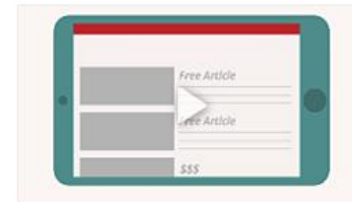
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Recommended articles



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Digital Humanities

M Neuwirth

The Routledge Handbook of Music and Migration - 4 days ago

▼
- ☆

Research collaboration among library and information science professionals in Nigerian universities

CO Adekoya

Library Management - 8 days ago

▼

HTML

Summing Up

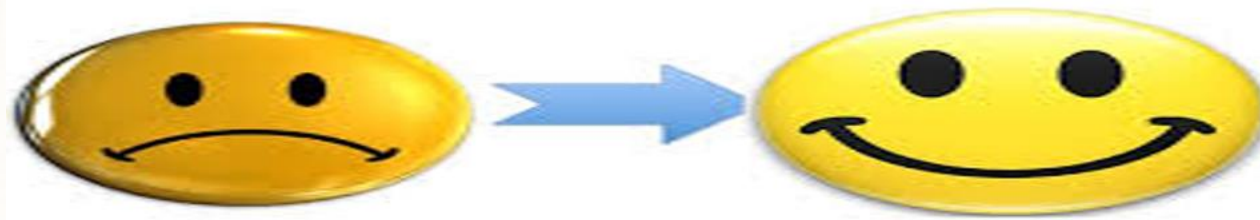
- ETDs are transforming the scholarly communication landscape through knowledge creation and sharing for industrialization and modernization.
- The establishment of ETD repositories is growing continuously.
- Nobel number of ETD initiatives have come up at the national, international and institutional levels.
- Nowadays, ETDs represent a significant part of references in academic publications.
- However, compared to research articles published in academic journals, ETDs are considered second-level information because they generally do not undergo rigorous peer review and are produced early in the academic career.
- ETDs have productive research results on specific research problems.
- Academic institutions are developing ETDs linked with innovation hubs, while academic libraries are exploring emerging genres like linked data to improve discoverability.

Disclaimer/Acknowledgements

- The Presentation/Lecture has been prepared from different resources to help the learners understand the topic for academic and research use.
- I duly acknowledge the scholars and the website content providers whose materials have been used in my presentation/lecture.

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- Shodhganga: a reservoir of Indian theses @ INFLIBNET: <https://shodhganga.inflibnet.ac.in>



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