

ETD & Open Science:

Improving the Discoverability and Impact through Persistent Identifiers (PIDs)

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Persistent identifiers (PIDs)



PIDs for people, places and things

PIDs for people (researchers) include ISNI and ORCID



https://orcid.org/0000-0001-6622-4910



PID for places (research institutions) include ROR



https://ror.org/01y2jtd41



PIDs for things (research out outs and resources) include DOIs, handles, IGSN,
ARK and more



https://doi.org/10.5061/dryad.708gr



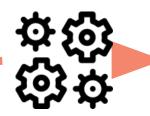


PIDs

What is a persistent identifier (PID)?

https://doi.org/10.34848/GJ06SY

Unique alphanumeric string referring to a digital resource.



https://research-data.urosario.edu.c o/dataset.xhtml?persistentId=doi:10. 34848/GJ06SY

Always points to the same resource (a metadata representation)

DOIs for research outputs and resources

https://doi.org/10.5281/ zenodo.3630248



ORCID iDs for researchers

https://orcid.org/ 0000-0001-6622-4910



ROR IDs for research organizations https://ror.org/01y2jtd41



DataCite DOIs

About DataCite



We are a global community that shares a common interest: to ensure that research outputs and resources are openly available and connected so that their reuse can advance knowledge across and between disciplines, now and in the future.

As a community, we make research more effective with metadata that connects research outputs and resources-**from samples and images to data and preprints**. We enable the creation and management of persistent identifiers (PIDs), integrate services to improve research workflows, and facilitate the discovery and reuse of research outputs and resources.

Our Community













2900+

Repositories

280+

Members

52

Countries

55m+

DOIs

1400+

Organizations

DOIs for research outputs

Dataset





Who we are

Data from: Towards robust evolutionary inference with integral projection models

Janeiro, M. J., University of St Andrews, University of Aveiro

Coltman, D. W.

Festa-Bianchet, M., University of Alberta

Pelletier, F., University of Alberta

Morrissey, M. B., University of St Andrews

Publication date: December 3, 2021

Publisher: Dryad

https://doi.org/10.5061/dryad.708gr

Citation

Janeiro, M. J. et al. (2021), Data from: Towards robust evolutionary inference with integral projection models, Dryad, Dataset, https://doi.org/10.5061/dryad.708gr







DataCite DOIs are suitable for a wide range of research outputs:

- 1. Research datasets and collections, associated workflows, software, images, and models
- 2. Grey literature such as theses, dissertations, reports, unpublished conference papers, newsletters, preprint journal articles, technical standards, and specifications for which the institutional repository is the primary publication point.

Resource Types in DataCite Registry



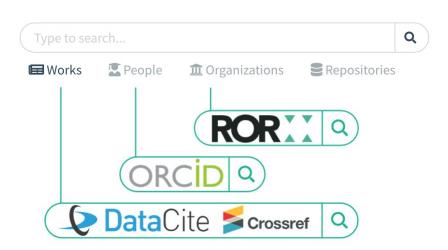
Dissortation

Dataset	16,200,197	Dissertation	114,247
Physical Object	14,098,123	Report	113,489
Text	11,966,471	Conference Paper	82,792
Image	4,137,380	Book	82,658
Other	2,396,391	Sound	51,751
Journal Article	1,125,328	Book Chapter	33,303
Preprint	1,115,782	Event	17,604
Collection	977,478	Data Paper	15,437
	•	Model	7,351
Software	451,102	Workflow	5,751
Audiovisual	346,942	Output Management	3,280
Interactive Resource	127,466	Plan	

Find and connect research

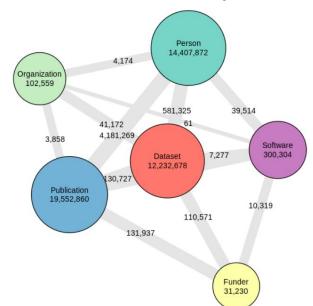


Find the research with DataCite Commons



The PID Graph

Number of nodes and connections (August 2022)



Questions?

DOIs for ETDs

PIDs for ETDs



PIDs such as DOIs, ORCID IDs, and ROR IDs can help identify outputs, contributors and organizations in the research ecosystem. **Theses and dissertations are and should be recognized as part of the <u>scholarly record</u>.**

Benefits of assigning DOIs to ETDs:

- Increasing the discoverability & visibility
- Improving accessibility
- Enabling citability
- Tracking impact



DataCite DOIs can be used to identify ETDs and connect them to their authors, contributors and organizations through other PIDs.





Rudoll, C. J. (2019). Post-Epochen [Ludwig-Maximilians-Universität München]. https://doi.org/10.5282/OPH.1

ETDs metadata

DataCite

DataCite metadata schema includes the resourceTypeGeneral:Dissertation since 2021.

Previously organizations could use the **resourceTypeGeneral:Text** and the secondary attribute **resourceType:Dissertation OR Thesis**.

```
"types": {
    "ris": "THES",
    "bibtex": "phdthesis",
    "citeproc": "thesis",
    "schemaOrg": "Thesis",
    "resourceType": "Thesis",
    "resourceTypeGeneral": "Dissertation"
},
```

ETDs metadata

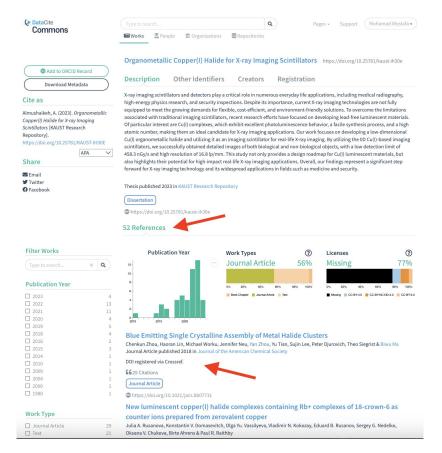


The DataCite metadata schema includes properties that facilitate connections between works and other types of entities, such as people and organizations.

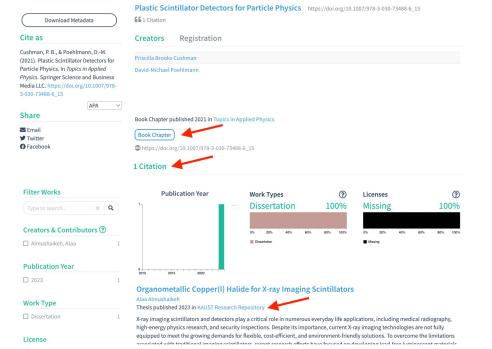
These properties enable references to many types of persistent identifiers, representing different types of works, people, and organizations. Including connections to other PIDs in DataCite DOI metadata helps realize the PID Graph and promotes interoperability between systems.

Rich Metadata for ETDs

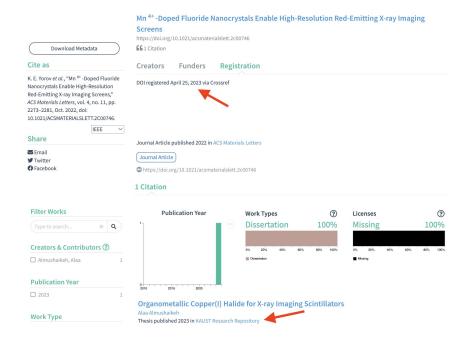




Connecting ETDs with research outputs







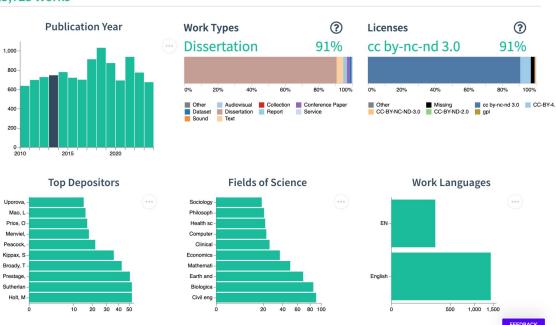
Rich Metadata for Repositories



University of New South Wales

25,723	92
23,123	32
Works	Citations

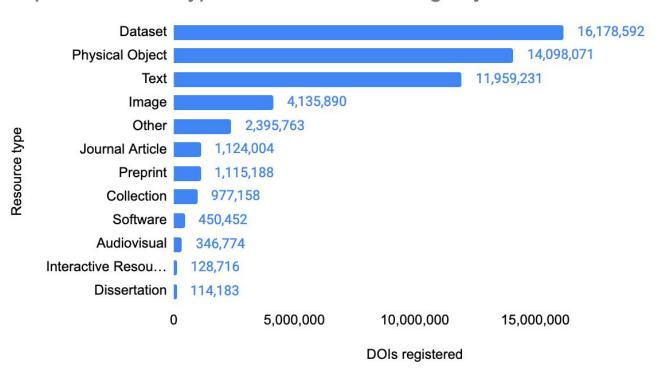
25,723 Works



DOIs for ETDS Progress to date



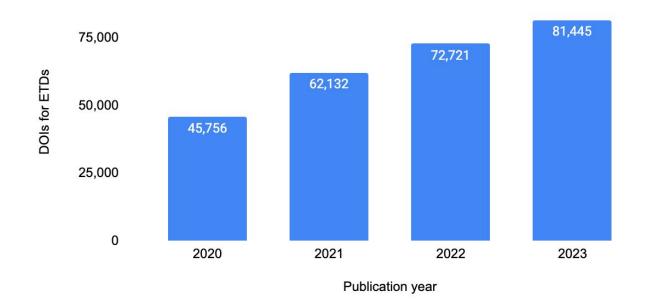
Top 12 resource types in the DataCite Registry





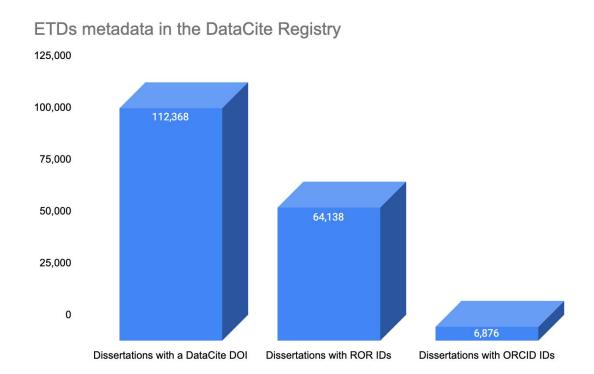
DOIs for ETDs by publication year

100,000



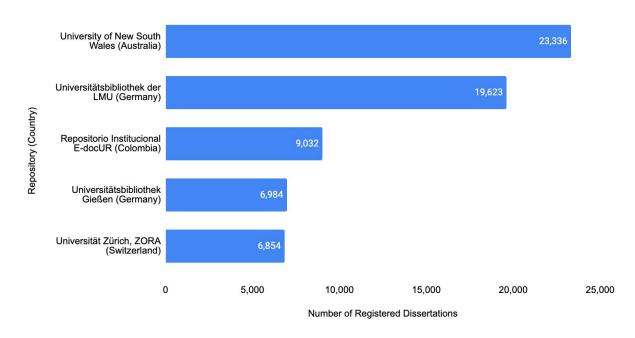
Includes resourceTypeGeneral: Dissertation and resourceType: Dissertation OR Thesis







Top 5 repositories registering DOIs for ETDs



Analysis for ETDs in



DataCite Registry

Growing community interest in identifying ETDs with DOIs:

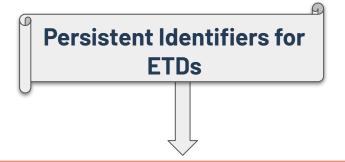
- 12th resource type (out of 28)
- Consistent yearly growth of DOI registration for ETDs in the last four years
- Almost 50% of "Dissertation" DOIs are connected to a ROR ID. Only 6% are connected to ORCID IDs

PIDs and metadata can improve transparency and recognition for ETDs by enabling trustworthy connections between dissertations their authors/contributors and organizations, among others.

Still more **awareness** is needed to encourage **rich metadata** for ETDs (update metadata to use accurate resource type, deposit references, and connect other PIDs in the metadata)

ETDs & Open Science





Implementing PIDs for theses and dissertations can help enhance the visibility and accessibility of research outputs within the **Open Science** framework. This practice promotes more transparency, collaboration and **interconnection in the** research ecosystem.

Thank you!





Questions?



CONNECTING RESEARCH, ADVANCING KNOWLEDGE



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pidforum.org



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